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ATTN: BOX MISSING PARTS
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Akira YAMAGUCHI and Eiji OGAWA

Serial No.: 09/289,600

Filed: April 12, 1999

For: MONOCHROMATIC IMAGE DISPLAY SYSTEM



SUBMISSION OF EXECUTED DECLARATION, VERIFIED ENGLISH LANGUAGE
TRANSLATION AND REQUISITE FEES

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application-Filing Date Granted", mailed May 12, 1999, and "Notice to File Corrected Application Papers-Filing Date Granted", mailed May 12, 1999, submitted herewith is the Declaration properly executed by the inventor(s) and Verified English Language Translation (75 pages and 23 drawings) for the above-mentioned application along with the requisite filing fees. Also enclosed please find a Petition for Extension of Time for one month, Submission of Priority Documents, three (3) priority documents, Information Disclosure Statement and PTO Form 1449 with references, an executed Assignment and PTO Form 1595.

Checks for the statutory fee of \$1074.00, Petition for Extension of Time fee of \$110.00 and Assignment recordation fee of \$40.00 are attached. You are also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.16 and 1.17 and any petitions for extension of time under 37 C.F.R. 1.136 which may be required during the entire pendency of the application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

Respectfully submitted,

for [Signature] Registration No. 32,778
Darryl Mexic
Registration No. 23,063

SUGHRUE, MION, ZINN, MACPEAK & SEAS
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3202
Tel: (202) 293-7060
DM:tnj
Date: August 11, 1999

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ATTN: BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Akira YAMAGUCHI and Eiji OGAWA

Serial No.: 09/289,600

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For: MONOCHROMATIC IMAGE DISPLAY SYSTEM



SUBMISSION OF PRIORITY DOCUMENTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are three (3) certified copies of the priority documents on which a claim to priority is made under 35 USC 119. The Office is respectfully requested to acknowledge receipt of said priority document(s).

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Darryl Mexic".

Darryl Mexic

Registration No. 23,063

Registration No. 32,778

SUGHRUE, MION, ZINN, MACPEAK & SEAS
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3202
Tel: (202) 293-7060
DM:tnj

Date: August 11, 1999

No: 98991/1998 (Japanese)
119827/1998 (Japanese)
119828/1998 (Japanese)

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
097289-600	04/12/99	YAMAGUCHI	A 053967

026270512
SUGHRUE MION ZINN MACPEAK & SEAS
2100 PENNSYLVANIA AVE NW
WASHINGTON DC 20038-3202

AUG 11 1999 NOT ASSIGNED

0000

DATE MAILED:

05/12/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 890

☒ 1 The statutory basic filing fee is:

- ☐ missing.
☒ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2 Additional claim fees of \$ 130 including any multiple dependent claim fees are required.

\$ 130 for independent claims over 3

\$ 130 for dependent claims over 20

\$ 130 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3 The oath or declaration:

- ☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4 The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5 The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☒ 6 A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☒ 7 Your filing receipt was mailed in error because your check was returned without payment.

☒ 8 The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825"

☒ 9 OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts"

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000941 09289600

7/12/1999 TL0011

760.00
130.00
130.00

FC:101
FC:105
FC:103

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER 00	FILING RECEIPT DATE YAMAGUCHI	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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026270512
SUGHRUE MION ZINN MACPEAK & SEAS
2100 PENNSYLVANIA AVE NW
WASHINGTON DC 20038-3202

NOT ASSIGNED

0000



DATE MAILED:

05/12/99

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- ☐ 1. An Abstract, commencing on a separate sheet (37 CFR 1.72(b)).
- ☐ 2. The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- ☒ 3. A substitute specification in compliance with 37 CFR 1.52 because:
 - ☐ All sheets must be the same size and either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".
 - ☐ Papers are not flexible, strong, smooth, non-shiny, durable, and white.
 - ☐ Papers are not typewritten or mechanically printed in permanent dark ink on one side.
 - ☐ Papers contain hand lettering.
 - ☐ Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4").
 - ☐ Line spacing on the sheets is not 1-1/2 or double-spaced.
 - ☐ The pages of specification including the abstract and claims are not consecutively numbered starting with the number "1".
 - ☐ The pages of specification do not contain page numbers centrally located within the top or, preferably, bottom margin.
- ☒ 4. Substitute drawings in compliance with 37 CFR 1.84 because:
 - ☐ The drawings or copy of drawings are not suitable for electronic reproduction.
 - ☐ All drawing sheets must be the same size and either A4 (21cm x 29.7 cm) or 8-1/2" x 11".
 - ☐ Each sheet must include a top and left margin of at least 2.5 cm (1"), a right margin of at least 1.5 cm (9/16") and a bottom margin of at least 1.0 cm (3/8").
- ☒ 5. Page(s) are not of sufficient clarity, contrast and quality for electronic reproduction.
New typewritten or mechanically printed pages of sufficient clarity, contrast and quality for electronic reproduction, together with a statement that the new pages contain the same material as those on deposit are required.
- ☐ 6. A new oath or declaration in compliance with 37 CFR 1.63 setting forth the residence (city and state or foreign country) of each applicant (or legal representative under 37 CFR 1.42 or 1.43 of each applicant).
- ☒ 7. An English translation of the non-English language application, the \$130.00 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 8. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

U. Robinson

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Initial Patent Examination Division (703) 308-1202